

NATIONAL TOBACCO ADMINISTRATION (NTA)
Interim Performance Scorecard

Indicator	Weight 2014	Targets 2014	Accomplishment		CGO-B Validation		Supporting Documents	Remarks																																							
			Actual	Rating	Score	Rating																																									
MFO 1 – Production Assistance																																															
Quantity 1: No. of farmers provided with production assistance Tobacco Rice Renewable Energy AgriPinoy	10% 4% 2% 2% 2%	13,900 4,400 2,000 2,500 5,000	 7,802 1,912 1,763 592	 4.00% 1.91% 1.41% 0.24%	 7,946 1,907 2,363 383	 4.00% 1.91% 1.89% 0.15%	<ul style="list-style-type: none"> Farmers provided with production assistance per province is as follows: <table border="1"> <thead> <tr> <th></th> <th>Tobacco</th> <th>Rice</th> <th>RE</th> <th>AgriPinoy</th> </tr> </thead> <tbody> <tr> <td>Abra</td> <td>291</td> <td>178</td> <td>330</td> <td>25</td> </tr> <tr> <td>Ilocos N.</td> <td>747</td> <td>254</td> <td>791</td> <td>-</td> </tr> <tr> <td>Ilocos S.</td> <td>1,562</td> <td>776</td> <td>773</td> <td>358</td> </tr> <tr> <td>La Union</td> <td>702</td> <td>270</td> <td>291</td> <td>-</td> </tr> <tr> <td>Pangasinan</td> <td>410</td> <td>129</td> <td>41</td> <td>-</td> </tr> <tr> <td>Cagayan</td> <td>3,177</td> <td>57</td> <td>2</td> <td>-</td> </tr> <tr> <td>Isabela</td> <td>1,057</td> <td>243</td> <td>135</td> <td>-</td> </tr> </tbody> </table> <ul style="list-style-type: none"> Summary of accomplishment per Branch Office. Detailed list of farmers provided with production assistance. The provinces of Cagayan and Isabela were only provided with technical assistance in tobacco while the rest received both financial and technical assistance. 		Tobacco	Rice	RE	AgriPinoy	Abra	291	178	330	25	Ilocos N.	747	254	791	-	Ilocos S.	1,562	776	773	358	La Union	702	270	291	-	Pangasinan	410	129	41	-	Cagayan	3,177	57	2	-	Isabela	1,057	243	135	-
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Quantity 2: Value of production assistance (P M) Tobacco Rice Renewable Energy AgriPinoy	10% 4% 2% 2% 2%	270,000,000 80,000,000 20,000,000 80,000,000 90,000,000	 63,362,524 20,656,547 46,036,676 52,981,024	 3.17% 2.00% 1.15% 1.18%	 80,515,536 19,762,362 45,541,659 33,582,790	 4.00% 1.98% 1.14% 0.75%	<ul style="list-style-type: none"> Value of production assistance per province is as follows: <table border="1"> <thead> <tr> <th>(in thousands)</th> <th>Tobacco</th> <th>Rice</th> <th>RE</th> <th>AgriPinoy</th> </tr> </thead> <tbody> <tr> <td>Abra</td> <td>5,100</td> <td>1,528</td> <td>6,362</td> <td>1,931</td> </tr> <tr> <td>Ilocos N.</td> <td>13,113</td> <td>2,178</td> <td>5,829</td> <td>-</td> </tr> <tr> <td>Ilocos S.</td> <td>37,701</td> <td>6,466</td> <td>13,311</td> <td>51,050</td> </tr> <tr> <td>La Union</td> <td>14,714</td> <td>3,450</td> <td>6,679</td> <td>-</td> </tr> <tr> <td>Pangasinan</td> <td>9,888</td> <td>1,573</td> <td>3,282</td> <td>-</td> </tr> <tr> <td>Cagayan</td> <td>-</td> <td>912</td> <td>347</td> <td>-</td> </tr> <tr> <td>Isabela</td> <td>-</td> <td>3,655</td> <td>9,733</td> <td>-</td> </tr> </tbody> </table> <ul style="list-style-type: none"> Summary of accomplishment per Branch Office. Detailed list of farmers provided with production assistance. 	(in thousands)	Tobacco	Rice	RE	AgriPinoy	Abra	5,100	1,528	6,362	1,931	Ilocos N.	13,113	2,178	5,829	-	Ilocos S.	37,701	6,466	13,311	51,050	La Union	14,714	3,450	6,679	-	Pangasinan	9,888	1,573	3,282	-	Cagayan	-	912	347	-	Isabela	-	3,655	9,733	-
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	2014	2014	Actual	Rating	Score	Rating		
Financial: Collection Efficiency								
Percentage of collection over total collectible	8%						<ul style="list-style-type: none"> Summary of Collection Efficiency per province Certification of Collection Efficiency per Branch Office Reasons for Low Collection (onsite) Consolidated Collection Report per Branch Office 	<ul style="list-style-type: none"> Collection efficiency on rice fell short on target due to the low yield of farmers caused by calamities such as the long drought and typhoon Mario.
Tobacco	5%	86%	85%	4.94%	84.89%	4.94%		
Rice	3%	97%	79%	2.44%	78.60%	2.43%		
Timeliness: Percentage of applications approved within one (1) working day (WD)								
	2%	85%	100%	2.00%	100%	2.00%	<ul style="list-style-type: none"> Receiving log book (onsite) Signed or approved applications (onsite) 	<ul style="list-style-type: none"> As represented, all applications were already pre-approved by the Regional Offices, hence, the final approval in the Central Office does not exceed one (1) day because NTA cannot delay the release of the amount to the farmers. It is recommended that the date of the approval from the Central Office as well as the date of the release of requirements be clearly marked in the documents.
Subtotal:	30%			24.44%		25.19%		
MFO 2. Research and Development Services								
Quantity 1: No. of research projects/studies completed:								
a. Industrial/other or alternative uses of tobacco	5%	1	1	5.00%	1	5.00%	<ul style="list-style-type: none"> Copies of "Tobacco Extracts for Controlling Pests: Production & Utilization", "Report on Chloride Content of Phil. Grown and Imported Burley Tobacco", and "Report on Weights, Circumference/Size and Roundness of Local and Imported Cigarettes Under Different Brand Classifications" and their 	<ul style="list-style-type: none"> The research is received by the Administrator's office on 22 December 2014.
b. No. of studies related to standards and quality assurance completed	5%	2	2	5.00%	2	5.00%		

Indicator	Weight 2014	Targets 2014	Accomplishment		CGO-B Validation		Supporting Documents	Remarks
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							<ul style="list-style-type: none"> corresponding accomplishment reports. • Full-blown Project and Budget Proposal • Monthly Statement of Cash Flow • Receiving log book 	
Quantity 2: No. of research results/studies incorporated in the technology manual	10%	2	2	10.00%	2	10.00%	<ul style="list-style-type: none"> • Copies of "Evaluation and Modification of Anawang Furnace for Flue-curing Virginia Tobacco" and "Production Technology for Virginia Tobacco-Topped" and their accomplishment reports. 	<ul style="list-style-type: none"> • Study on Anawang Furnace was featured in the Tobacco News - Volume VI, No. 1, January - February 2015.
Subtotal:	20%			20.00%		20.00%		

MFO 3: Regulatory Services

PI Set 1: : Licensing of trading centers / importers / exporters / Transhipper / cigarette manufacturers

Quantity 1: No. of applications for licensing/permit/authority/ accreditation (new or renewal) acted upon	3%	309	441	3.00%	438	3.00%	<ul style="list-style-type: none"> • Summary of Licenses/Permit/COA and Authority to Import/Export • List of licensed Exporters, Importers, Transhippers, and Manufacturers 	<ul style="list-style-type: none"> • Validated applications for licensing/permit/ authority/ accreditation acted upon is as follows: <table border="1"> <tbody> <tr> <td>Export</td> <td>23</td> </tr> <tr> <td>Import</td> <td>34</td> </tr> <tr> <td>Manufacturing</td> <td>14</td> </tr> <tr> <td>Transhipper</td> <td>8</td> </tr> <tr> <td>Local trading</td> <td></td> </tr> <tr> <td>• Trading Centers (TC-BSE)</td> <td>54</td> </tr> <tr> <td>• Trading - BS Extension</td> <td>4</td> </tr> <tr> <td>• Wholesale Tobacco Dealers (WTD)</td> <td>11</td> </tr> <tr> <td>• Redrying Plants</td> <td>4</td> </tr> <tr> <td>Field Representatives</td> <td>286</td> </tr> </tbody> </table>	Export	23	Import	34	Manufacturing	14	Transhipper	8	Local trading		• Trading Centers (TC-BSE)	54	• Trading - BS Extension	4	• Wholesale Tobacco Dealers (WTD)	11	• Redrying Plants	4	Field Representatives	286
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			Actual	Rating	Score	Rating		Total	438
									<ul style="list-style-type: none"> It is highly recommended that NTA maintain a centralized and orderly system to facilitate the retrieval and storage of information. This has been the same observation from last year's validation.
Quantity 2: Percentage of applications approved within the day	2%	38%	89.80%	2.00%	88.81%	2.00%	<ul style="list-style-type: none"> Summary of Licenses/Permit/COA and Authority to Import/Export 		<ul style="list-style-type: none"> Applications approved within a day was determined by identifying the total number of applications approved (per GCG validation it was 389) over the total number of applications received (per GCG validation it was 438).
PI Set 2: Monitoring									
Quantity 1: Percentage of entities subject to random (on-the-spot) inspection/monitoring	3%	36%	88%	3.00%	82.87%	3.00%	<ul style="list-style-type: none"> Summary of Licenses/Permit/COA and Authority to Import/Export 		<ul style="list-style-type: none"> The percentage was determined by identifying the total number of entities monitored (363) over the total number of licensed entities (438).
Quantity 2: Percentage of compliance to conditions of issued license/commodity clearances	5%	98%	100%	5.00%	99.62%	5.00%	<ul style="list-style-type: none"> List of Exporters Commodity Clearances (ECC)/ Importers Commodity Clearances (ICC)/ Transhipper Commodity Clearances (TCC) Issuances 		<ul style="list-style-type: none"> There were a total of 6,929 monitoring and inspection undertaken, 26 of which had violations. As a result, only 6,903 were compliant to the conditions. It is recommended to put in place a system to properly document the reporting of violations and respective corrective actions made.
Quality: Percentage of complaints received and	2%	90%	100%	2.00%	100%	2.00%	<ul style="list-style-type: none"> List of Exporters Commodity Clearances (ECC)/ Importers 		<ul style="list-style-type: none"> The validation was done through sampling which included

Indicator	Weight 2014	Targets 2014	Accomplishment		CGO-B Validation		Supporting Documents	Remarks															
			Actual	Rating	Score	Rating																	
resolved within the same day							Commodity Clearances (ICC)/ Transhippers Commodity Clearances (TCC) Issuances <ul style="list-style-type: none"> • Clearances • Log book 	examination and cross-reference of the commodity clearance, summary of commodities for approval, and the logbook. <ul style="list-style-type: none"> • It is recommended to put in place a system to properly document the reporting of violations and respective corrective actions made. 															
PI Set 3: Enforcement																							
Quantity 1: Percentage of export/import/transshipment commodity clearances issued	5%	24%	98%	5.00%	13.53%	2.82%	<ul style="list-style-type: none"> • Daily Summary List of Exporters Commodity Clearances(ECC)/ Importers Commodity Clearances (ICC)/ Transhipper Commodity Clearances (TCC) Issuances • Individual ECC/ICC/TCC • Log book 	<ul style="list-style-type: none"> • Target for 2014 is to issue 6,362 (124% times 5,131 [2013 target]) commodity clearances (export, import and transshipment). • In 2014, NTA issued the following commodity clearances: <table border="1"> <thead> <tr> <th></th> <th>Product</th> <th>Leaf</th> </tr> </thead> <tbody> <tr> <td>Export</td> <td>1,554</td> <td>2,013</td> </tr> <tr> <td>Import</td> <td>1,648</td> <td>1,913</td> </tr> <tr> <td>Transshipment</td> <td></td> <td>95</td> </tr> <tr> <td>TOTAL</td> <td>-</td> <td>7,223</td> </tr> </tbody> </table> <ul style="list-style-type: none"> • It is highly recommended that NTA maintain a centralized and orderly system to facilitate the retrieval and storage of information. This has been the same observation from last year's validation. 		Product	Leaf	Export	1,554	2,013	Import	1,648	1,913	Transshipment		95	TOTAL	-	7,223
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Timeliness: Percentage of violations resolved within the day	5%	97%	100%	5.00%	100%	5.00%	<ul style="list-style-type: none"> • Inspection (Import/Export) Monitoring Report • Certificate of inspection • Clearance 	<ul style="list-style-type: none"> • There were a total of 26 violations (10 violations during the first quarter and 16 violations in the second quarter). • The nature of the violation is embodied in the Certificate of Inspection. 															

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PI Set 4: Financial								
Financial: Percentage increase in income from issuance of licenses/clearances	25%	105,000,000	95,588 M	21.72%	100,755 M	23.99%	<ul style="list-style-type: none"> COA-received Income Statement 	<ul style="list-style-type: none"> The income from Permit and Licenses is derived from: <ul style="list-style-type: none"> - Franchising and Licenses Fees of Trading Centers and Field Representatives of buyers; - R&R fee of 50¢; - Competitive Enhancement Fee (CEF) ranging from 60¢ to ₱1; and - Additional Regulatory Fees of 95¢. There were adjustments made on the income from "Other Permits and Licenses", hence, an updated Income Statement was resubmitted to COA on 05 May 2015.
Subtotal:	50%			46.72%		46.81%		
TOTAL WEIGHT:	100%			91.16%		<u>92.00%</u>		